

6202 U.S. PTO
04/30/97

68588 U.S. PTO
08/846405
04/30/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. § 1.60

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
P106-CON.2	CLASS 623	SUBCLASS 1	Debra S. Brittingham	3308

Dear Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 C.F.R. § 1.60, of pending prior application Serial Number 08/619,014, filed on March 20, 1996, entitled **ENDOVASCULAR SUPPORT DEVICE AND METHOD**.

- Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application Serial Number 07/398,180, and further that all statements made herein of my own knowledge are true; and further that these statements were made of with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
- The filing fee is calculated as follows:

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16c))	3 - 20 =	0	x \$22 =	\$
	INDEPENDENT CLAIMS (37 CFR 1.16(B))	2 - 3 =	0	x \$80 =	
	MULTIPLE DEPENDENT CLAIMS (if applicable (37 CFR 1.16(d)))			+ x \$260 =	
				BASIC FEE (37 CFR 1.16(a))	+ 770
				Total of above Calculations =	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$770

3. ☐ A verified statement to establish small entity status under 37 C.F.R 1.9 and 1.27
☐ is enclosed.
☐ was filed in prior application Serial Number ____/____ and such status is still
proper and desired (37 C.F.R 1.28(a)).

4. ☒ The Commissioner is hereby authorized to charge any fees which may be required
under 37 C.F.R 1.16 and 1.17, or credit any overpayment to Deposit Account No. **01-
2525**. A duplicate copy of this sheet is enclosed.

5. ☐ A check in the amount of \$ _____ is enclosed.

6. ☐ Cancel in this application original claims _____
of the prior application before calculating the filing fee. (At least one original
independent claim must be retained for filing purposes).

7. ☒ The inventor(s) of the invention being claimed in this application is (are):

Michael D. BONEAU

8. ☐ This application is being filed by less than all the inventors named in the prior
application. In accordance with 37 C.F.R. § 1.60(b), the Commissioner is requested to
delete the name(s) of the following person or persons who are not inventors of the
invention being claimed in this application:

9. ☒ Amend the specification by inserting before the first line the sentence: "This
application is a ☒ continuation ☐ division of application Serial Number 08/619,014,
filed March 20, 1996, which is a continuation of application Serial Number
08/471,738, filed June 6, 1995, which is a division of application Serial Number
08/172,420, filed December 22, 1993, now abandoned, which is a division of
application Serial Number 07/398,180, filed August 24, 1989, now U.S. Patent
Number 5,292,331."

10. ☒ New ☒ formal ☐ informal drawings are enclosed.

11. ☐ Priority of foreign application number _____, filed on _____ in
_____ is claimed under 35 U.S.C. 119(a) - (d). The certified copy has
been filed in prior application number ____/____, filed _____.

12. ☒ A preliminary amendment is enclosed.

13. ☒ The prior application Serial Number 08/619,014 is assigned of record to:

ARTERIAL VASCULAR ENGINEERING, INC.

14. ☐ Also enclosed:

15. ☒ The power of attorney in the prior application is to:

Robert R. Jackson,	Reg. No. 26,183
Nicola A. Pisano,	Reg. No. 34,408
K. Iain McAusland,	Reg. No. 37,980
Michael J. DeHaemer, Jr.,	Reg. No. 39,164

- a. ☐ The power of attorney appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Since the undersigned's power does not appear in the original papers, a copy of the undersigned's power in the prior application is enclosed.
- d. ☒ Address all future correspondence to:

Richard L. Klein
Arterial Vascular Engineering
3576 Unocal Place
Santa Rosa, California 95403
Tel.: (707) 522-2250
Fax: (707) 522-1820

Respectfully submitted,


Richard L. Klein
Registration No. 33,330

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EH290483945US

I hereby certify that this Application for Letters Patent, transmittal letter and all other papers identified in this transmittal letter, are addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231, and are being deposited with

the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on

APRIL 30, 1997
Date of Deposit

Richard Klein
Richard L. Klein

2025-04-30 14:43:40

3. ☐ A verified statement to establish small entity status under 37 C.F.R 1.9 and 1.27
☐ is enclosed.
☐ was filed in prior application Serial Number ____/____ and such status is still proper and desired (37 C.F.R 1.28(a)).

4. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 C.F.R 1.16 and 1.17, or credit any overpayment to Deposit Account No. 01-2525. A duplicate copy of this sheet is enclosed.

5. ☐ A check in the amount of \$ _____ is enclosed.

6. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).

7. ☒ The inventor(s) of the invention being claimed in this application is (are):

Michael D. BONEAU

8. ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. § 1.60(b), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application:

9. ☒ Amend the specification by inserting before the first line the sentence: "This application is a ☒ continuation ☐ division of application Serial Number 08/619,014, filed March 20, 1996, which is a continuation of application Serial Number 08/471,738, filed June 6, 1995, which is a division of application Serial Number 08/172,420, filed December 22, 1993, now abandoned, which is a division of application Serial Number 07/398,180, filed August 24, 1989, now U.S. Patent Number 5,292,331."

10. ☒ New ☒ formal ☐ informal drawings are enclosed.

11. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. 119(a) - (d). The certified copy has been filed in prior application number ____/____, filed _____.

12. ☒ A preliminary amendment is enclosed.

13. ☒ The prior application Serial Number 08/619,014 is assigned of record to:

ARTERIAL VASCULAR ENGINEERING, INC.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael D. Boneau
Serial No.: 08/619,014
Filed: March 20, 1996
For: ENDOVASCULAR SUPPORT DEVICE AND METHOD
Atty. Docket No.: P106-CON

Assistant Commissioner for Patents
Washington, D.C. 20231

**REVOCATION OF POWER OF ATTORNEY AND NEW POWER OF
ATTORNEY**

Arterial Vascular Engineering, Inc. as assignee of record of the entire right, title and interest in the above-identified patent application, pursuant to 37 C.F.R. § 1.36, hereby revokes all powers of attorney previously given and hereby appoints:

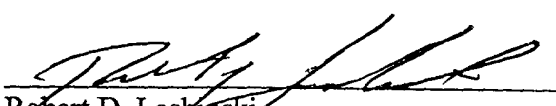
Richard L. Klein, Reg. No. 33,330

as its principal attorney of record to prosecute and transact all business in the United States Patent and Trademark office connected therewith.

Please address all correspondence and direct all telephone calls to:

Richard L. Klein
Arterial Vascular Engineering
3576 Unocal Place
Santa Rosa, CA 95403
(707) 522-2250

Dated: 10-21-96


Robert D. Lashinski
Vice-President, Research & Development
Arterial Vascular Engineering, Inc.

08/846405, 04/30/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael D. BONEAU

Serial No.: Unassigned

Filed: On an even date herewith

For: ENDOVASCULAR SUPPORT DEVICE AND METHOD

Atty. Docket No.: P106-CON.2

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Preliminary to examination, Applicant amends the above-referenced application as follows:

In the Claims:

Amend claim 1 as follows:

1. (Amended) An endovascular support device [suitable] for implantation within a [coronary or other] vessel within the human body comprising:

a [unitary] member [of wire-like material] formed of a plurality of substantially straight segments and configured to provide a plurality of upper and lower peaks;

the substantially straight segments formed without interconnection or joining of the substantially straight segments intermediate of the upper and lower peaks; and

the [unitary] member being capable of [being] retaining a compressed configuration

while mounted onto an outer surface of a catheter for delivery to an affected area of a vessel
[and then expanded to maintain the affected area of a vessel at a diameter larger than if the
support device were not implanted] until application of a radial force to form an expanded
configuration.

Cancel claims 2 and 3.

Add the following new claims:

4. An endovascular support device for implantation in a vessel within the human
body comprising:

a plurality of stent members;

each stent member formed of a plurality of substantially straight segments having ends;

the ends of respective pairs of the plurality of substantially straight segments connected
end to end at a plurality of axial turns; and

whereby each of the plurality of stent members are capable of retaining a compressed
configuration while mounted onto an outer surface of a catheter for delivery to an affected area
of a vessel until application of a radial force to form an expanded configuration.

5. The endovascular support device according to claim 4 wherein the plurality of
stent members are adjacent and non-overlapping.

REMARKS

This application is a continuation of U.S. patent application Serial Number 08/619,014.

Amended claim 1 and new claims 4 and 5 are presented for examination.

Amended claim 1 is directed toward an endovascular support device (10) of a sinusoidal pattern having a plurality of substantially straight segments (16) connected end to end at a plurality of upper (12) and lower (14) axial turns or peaks. Claim 1 also precludes any joining or interconnection of the substantially straight segments in the central or intermediate portions thereof. The support device being capable of retaining a compressed configuration until delivered to the affected area within the vessel at which time the device is purposefully expanded by the application of a radial force to permanently place the device at the affected area.

New claims 4 and 5 define a plurality of endovascular support devices or stent members (10), as discussed in the specification at column 6, lines 37-41. Again, each stent member (10) is formed of a sinusoidal pattern comprising a plurality of substantially straight segments (16) connected end to end at a plurality of upper (12) and lower (14) axial turns or peaks. The stent segments are mounted in an axially adjacent, non-overlapping manner on a catheter for delivery to the affected site. Each stent segment is capable of being retained in a compressed configuration until delivered to the affected area within the vessel at which time the stent segments are purposefully expanded by the application of a radial force to permanently place the stent segments at the affected area.

Applicant considers the subject matter of the presently claimed invention to be

patentable for at least the same reasons as parent applications 08/619,014 and 07/398,180 (the latter now U.S. Patent Number 5,292,331).

Respectfully submitted,

A handwritten signature in cursive script, reading "Richard Klein", written over a horizontal line.

Richard L. Klein
Registration No. 33,330
Attorney for Applicant

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08/619,014; 07/398,180

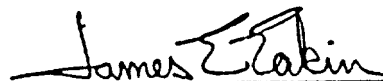
1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
2 APPLICATION
3 FOR
4 LETTERS PATENT
5 FOR
6 **ENDOVASCULAR SUPPORT DEVICE AND METHOD**
7 **CERTIFICATE OF MAILING BY EXPRESS MAIL**

8 Express Mailing Label No. LB063973723

9 Date of Deposit 24 August 1989

10 I hereby certify that this paper or fee is being deposited with the U.S. Postal
11 Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the
12 date indicated above and is addressed to the Commissioner of Patents &
13 Trademarks, Washington, D.C. 20231.

14 James E. Eakin

15 
16 _____

17 Date of Signature 24 August 1989

18 SPECIFICATION
19

20 **Field of the Invention**

21 The present invention relates generally to medical devices, and particularly
22 relates to implantable devices for treating narrowing of coronary or peripheral vessels
23 in humans.

24 **Background of the Invention**

25 Cardiovascular disease, including atherosclerosis, is the leading cause of death
26 in the U.S. The medical community has developed a number of methods for treating
27 coronary heart disease, some of which are specifically designed to treat the
28 complications resulting from atherosclerosis and other forms of coronary arterial
29 narrowing.

30 The most impelling development in the past decade for treating atherosclerosis
31 and other forms of coronary narrowing is percutaneous transluminal coronary
32 angioplasty, hereinafter referred to simply as "angioplasty" or "PTCA" The objective

1 in angioplasty is to enlarge the lumen of the affected coronary artery by radial
2 hydraulic expansion. The procedure is accomplished by inflating a balloon within the
3 narrowed lumen of the coronary artery. Radial expansion of the coronary artery
4 occurs in several different dimensions and is related to the nature of the plaque.
5 Soft, fatty plaque deposits are flattened by the balloon and hardened deposits are
6 cracked and split to enlarge the lumen. The wall of the artery itself is also stretched
7 when the balloon is inflated.

8 PTCA is performed as follows: A thin-walled, hollow guiding catheter is
9 typically introduced into the body via a relatively large vessel, such as the femoral
10 artery in the groin area or the brachial artery in the arm. Access to the femoral
11 artery is achieved by introducing a large bore needle directly into the femoral artery,
12 a procedure known as the Seldinger Technique. Once access to the femoral artery
13 is achieved, a short hollow sheath is inserted to maintain a passageway during
14 PTCA. The flexible guiding catheter, which is typically polymer coated, and lined with
15 Teflon, is inserted through the sheath into the femoral artery. The guiding catheter
16 is advanced through the femoral artery into the iliac artery and into the ascending
17 aorta. Further advancement of the flexible catheter involves the negotiation of an
18 approximately 180 degree turn through the aortic arch to allow the guiding catheter
19 to descend into the aortic cusp where entry may be gained to either the left or the
20 right coronary artery, as desired.

21 After the guiding catheter is advanced to the ostium of the coronary artery to
22 be treated by PTCA, a flexible guidewire is inserted into the guiding catheter through
23 a balloon and advanced to the area to be treated. The guidewire provides the
24 necessary steerability for lesion passage. The guidewire is advanced across the
25 lesion, or "wires" the lesion, in preparation for the advancement of a polyethylene,
26 polyvinyl chloride, polyolefin, or other suitable substance balloon catheter across the
27 guide wire. The balloon, or dilatation, catheter is placed into position by sliding it
28 along the guide wire. The use of the relatively rigid guide wire is necessary to
29 advance the catheter through the narrowed lumen of the artery and to direct the
30 balloon, which is typically quite flexible, across the lesion. Radiopaque markers in
31 the balloon segment of the catheter facilitate positioning across the lesion. The
32 balloon catheter is then inflated with contrast material to permit fluoroscopic viewing

1 during treatment. The balloon is alternately inflated and deflated until the lumen of
2 the artery is satisfactorily enlarged.

3 Unfortunately, while the affected artery can be enlarged, in some instances the
4 vessel restenoses chronically, or closes down acutely, negating the positive effect of
5 the angioplasty procedure. In the past, such restenosis has frequently necessitated
6 repeat PTCA or open heart surgery. While such restenosis does not occur in the
7 majority of cases, it occurs frequently enough that such complications comprise a
8 significant percentage of the overall failures of the PTCA procedure, for example,
9 twenty-five to thirty-five percent of such failures.

10 To lessen the risk of restenosis, various devices have been proposed for
11 mechanically keeping the affected vessel open after completion of the angioplasty
12 procedure. Such mechanical endoprosthetic devices, which are generally referred
13 to as stents, are typically inserted into the vessel, positioned across the lesion, and
14 then expanded to keep the passageway clear. Effectively, the stent overcomes the
15 natural tendency of the vessel walls of some patients to close back down, thereby
16 maintaining a more normal flow of blood through that vessel than would be possible
17 if the stent were not in place

18 Various types of stents have been proposed, although to date none has
19 proven satisfactory. One proposed stent involves a tube of stainless wire braid.
20 During insertion, the tube is positioned along a delivery device, such as a catheter,
21 in extended form, making the tube diameter as small as possible. When the stent
22 is positioned across the lesion, it is expanded, causing the length of the tube to
23 contract and the diameter to expand. Depending on the materials used in
24 construction of the stent, the tube maintains the new shape either through
25 mechanical force or otherwise. For example, one such stent is a self-expanding
26 stainless steel wire braid. Other forms of stents include various types tubular metallic
27 cylinders expanded by balloon dilatation. One such device is referred to as the
28 Palmaz stent, discussed further below.

29 Another form of stent is a heat expandable device. This device, originally
30 designed using NITINOL by Dotter has recently been modified to a new tin-coated,
31 heat expandable coil by Regan. The stent is delivered to the affected area on a
32 catheter capable of receiving heated fluids. Once properly positioned, heated saline

1 is passed through the portion of the catheter on which the stent is located, causing
2 the stent to expand. Numerous difficulties have been encountered with this device,
3 including difficulty in obtaining reliable expansion, and difficulties in maintaining the
4 stent in its expanded state.

5 Perhaps the most popular stent presently under investigation in the United
6 States is referred to as the Palmaz stent. The Palmaz stent involves what may be
7 thought of as a stainless steel cylinder having a number of slits in its circumference,
8 resulting in a mesh when expanded. The stainless steel cylinder is delivered to the
9 affected area by means of a balloon catheter, and is then expanded to the proper
10 size by inflating the balloon.

11 Significant difficulties have been encountered with all prior art stents. Each
12 has its percentage of thrombosis, restenosis and tissue in-growth, as well as varying
13 degrees of difficulty in deployment. Another difficulty with at least some of prior art
14 stents is that they do not readily conform to the vessel shape. In addition, the
15 relatively long length of such prior art stents has made it difficult to treat curved
16 vessels, and has also effectively prevented successful implantation of multiple such
17 stents. Anticoagulants have historically been required at least for the first three
18 months after placement. These and other complications have resulted in a low level
19 of acceptance for such stents within the medical community, and to date stents have
20 not been accepted as a practical method for treating chronic restenosis.

21 Thus there has been a long felt need for a stent which is effective to maintain
22 a vessel open, without resulting in significant thrombosis, which may be easily
23 delivered to the affected area, easily expanded to the desired size, easily conformed
24 to the affected vessel, and easily used in multiples to treat curved vessels and
25 varying lengths of lesions.

26 Summary of the Invention

27 The present invention substantially reduces the complications and overcomes
28 the limitations of the prior art devices. The endovascular support device of the
29 present invention comprises a device having very low mass which is capable of
30 being delivered to the affected area by means of a slightly modified conventional
31 balloon catheter similar to that used in a standard balloon angioplasty procedure.
32

1 The support device of the present invention may then be expanded by normal
2 expansion of the balloon catheter used to deliver the stent to the affected area, and
3 its size can be adjusted within a relatively broad range in accordance with the
4 diagnosis of the treating physician.

5 Because of the range of diameters through which the support device of the
6 present invention may be expanded, it may be custom expanded to the specific
7 lesion diameter, and is readily conformable to the vessel shape. In addition, a
8 plurality of support devices of the present invention may be readily implanted in a
9 number commensurate with the length of the lesion under treatment. As a result,
10 curved or "S" shaped vessels may be treated.

11 The stent, or endovascular support device, of the present invention may
12 preferably be comprised of implantable quality high grade stainless steel, machined
13 specially for intravascular applications. The support device may comprise, in effect,
14 a metal circle or ellipsoid formed to create a plurality of axial bends, thereby
15 permitting compression of the stent onto a delivery catheter, and subsequent
16 expansion once in place at the affected area.

17 It is one object of the present invention to provide a stent which substantially
18 overcomes the limitations of the prior art.

19 It is a further object of the present invention to provide a stent capable of
20 being implanted simply and reliably.

21 Another object of the present invention is to provide a stent which does not
22 result in significant thrombosis at the point of implant.

23 Yet another object of the present invention is to provide a stent which can be
24 selectively sized in accordance with the anatomic configuration dictated by the lesion
25 itself.

26 A still further object of the present invention is to provide a method for
27 supplying an endovascular support device which permits a plurality of such devices
28 to be implanted commensurate with the length of the lesion under treatment.

29 These and other objects of the present invention can be better appreciated
30 from the following detailed description of the invention, taken in conjunction with the
31 attached drawings.

32 //

Figures

Figure 1 shows a perspective view of an endovascular support device constructed according to the present invention, in its expanded form.

Figure 2 shows a support device constructed according to the present invention and compressed onto a balloon catheter.

Figure 3 shows a support device compressed onto a balloon catheter as shown in Figure 2, and positioned within a sectioned portion of an affected area of a artery or other vessel.

Figure 4 shows a support device according to the present invention in its expanded form within a sectioned portion of a vessel including a lesion.

Figure 5 shows a support device of the present invention in its expanded form within a sectioned portion of a lesion after removal of the balloon catheter.

Figures 6a-b show alternative configurations of a support device according to the present invention.

Detailed Description of the Invention

Referring first to Figure 1, an endovascular support device 10, referred to hereinafter more conveniently as a stent, constructed in accordance with the present invention can be seen in perspective view. The stent 10 of Figure 1 is shown in its expanded form, prior to compression over a suitable delivery system as discussed in detail hereinafter.

In a preferred embodiment, the stent 10 comprises a single piece of material, bent to form a plurality of upper axial turns 12 and lower axial turns 14. In the embodiment shown in Figure 1, four upper turns 12 are connected to the four lower turns 14 by substantially straight segments 16. The axial turns 12 and 14 can be seen to permit the stent 10 to be compressed or expanded over a wide range while still maintaining significant mechanical force, such as required to prevent a vessel from restenosing. While a preferred embodiment comprises a single piece of material, in some instances a suitably welded wire may be acceptable.

It will be appreciated that the number of turns 12 and 14 can vary over a reasonably wide range and may in fact vary between two and ten such turns or peaks. However, it is currently believed that the optimum number of turns or peaks will range between three and five for most applications, and particularly for

1 cardiovascular applications.

2 The stent 10 is preferably constructed of implantable materials having good
3 mechanical strength. An embodiment which has proven successful in preliminary
4 testing is machined from 316LSS implantable quality stainless steel bar stock. The
5 bar stock is machined to form substantially a toroid, which is then acid etched in
6 phosphoric and sulfuric acid at approximately 180° to 185° to break the edges. The
7 etched toroid is then plated with copper to avoid galling and to provide lubricity.

8 The copper plated toroid is then bent to the shape of the stent 10 shown in
9 Figure 1, after which the copper plating is stripped from the stent. The stent is then
10 returned to the acid bath to reduce the wire size to the desired diameter, which is
11 in the range of 0.002" to 0.025". It is presently believed that the optimum wire size
12 for the final product is in the range of 0.008" to 0.009". It will be appreciated that the
13 strength of the stent -- that is, its ability to prevent restenosis -- is inversely
14 proportional to the number of peaks or turns in the stent, so that stents having a
15 greater number of turns will typically be formed of larger wire diameters. Finally,
16 although not required in all cases, the outside of the stent may be selectively plated
17 with platinum to provide improved visibility during fluoroscopy. The cross-sectional
18 shape of the finished stent may be circular, ellipsoidal, rectangular, hexagonal,
19 square, or other polygon, although at present it is believed that circular or ellipsoidal
20 may be preferable.

21 The minimum length of the stent, or the distance between the upper turns 12
22 and lower turns 14, is determined in large measure by the size of the vessel into
23 which the stent will be implanted. The stent 10 will preferably be of sufficient length
24 as to maintain its axial orientation within the vessel without shifting under the
25 hydraulics of blood flow (or other fluid flow in different types of vessels), while also
26 being long enough to extend across at least a significant portion of the affected
27 area. At the same time, the stent should be short enough as to not introduce
28 unnecessarily large amounts of material as might cause undue thrombosis. Typical
29 cardiovascular vessels into which the stent 10 might be implanted range from 1.5
30 millimeters to five millimeters in diameter, and corresponding stents may range from
31 one millimeter to two centimeters in length. However, in most instances the stent will
32 range in length between 3.5 millimeters and 6 millimeters. Preliminary testing of

1 stents having a length between 3.5 millimeters and 4.5 millimeters has been
2 performed with good success outside the United States, and testing on animals is
3 also ongoing.

4 Once the wire size of the stent 10 has been reduced to the desired size, the
5 stent 10 may be crimped onto a balloon 100, as shown in Figure 2, for delivery to
6 the affected region 102 of a vessel 104 such as a coronary artery. For the sake of
7 simplicity, the multiple layers of the vessel wall 104 are shown as a single layer,
8 although it will be understood by those skilled in the art that the lesion typically is
9 a plaque deposit within the intima of the vessel 104.

10 One suitable balloon for delivery of the stent 10 is manufactured by Advanced
11 Cardiovascular Systems, Inc., of Santa Clara, California ("ACS"), and is eight
12 millimeters in length with Microglide® on the shaft only. The stent-carrying balloon
13 100 is then advanced to the affected area and across the lesion 102 in a
14 conventional manner, such as by use of a guide wire and a guide catheter (not
15 shown). A suitable guide wire is the .014" Hi Torque Floppy manufactured by ACS,
16 and a suitable guiding catheter is the ET.076 lumen guide catheter, also
17 manufactured by ACS.

18 Once the balloon 100 is in place across the lesion 102, as shown in Figure
19 3, the balloon 100 may be inflated, again substantially in a conventional manner. In
20 selecting a balloon, it is helpful to ensure that the balloon will provide radially uniform
21 inflation so that the stent 10 will expand equally along each of the peaks. The
22 inflation of the balloon 100, shown in Figure 4, causes the expansion of the stent 10
23 from its crimped configuration back to a shape substantially like that shown in Figure
24 1. The amount of inflation, and commensurate amount of expansion of the stent 10,
25 may be varied as dictated by the lesion itself, making the stent of the present
26 invention particularly flexible in the treatment of chronic restenosis.

27 Because of the inflation of the balloon, the lesion 102 in the vessel 104 is
28 expanded, and causes the arterial wall of the vessel 104 to bulge radially, as
29 simplistically depicted in Figure 4. At the same time, the plaque deposited within the
30 intima of the vessel is displaced and thinned, and the stent 10 is embedded in the
31 plaque or other fibrotic material adhering to the intima of the vessel 104.
32

1 Following inflation of the balloon 100 and expansion of the stent 10 within the
2 vessel 104, the balloon is deflated and removed. The exterior wall of the vessel 104
3 returns to its original shape through elastic recoil. The stent 10, however, remains
4 in its expanded form within the vessel, and prevents further restenosis of the vessel.
5 The stent maintains an open passageway through the vessel, as shown in Figure 4,
6 so long as the tendency toward restenosis is not greater than the mechanical
7 strength of the stent 10. Because of the low mass of the support device 10 of the
8 present invention, thrombosis is less likely to occur. Ideally, the displacement of the
9 plaque deposits and the implantation of the stent 10 will result in a smooth inside
10 diameter of the vessel 104, although this ideal cannot be achieved in all cases.

11 One of the advantages of the stent 10 is that multiple stents may be used in
12 the treatment of a single lesion. Thus, for example, in the event the affected area
13 shown in Figures 3 and 4 was longer than the stent 10, additional stents 10 could
14 be positioned elsewhere along the lesion to prevent restenosis. In preliminary
15 testing, up to four stents have been used successfully along a single lesion. Due
16 to the conformability of the stent 10, not only can varying lesion lengths be
17 treated, but curved vessels and "S" shaped vessels may also be treated by the
18 present invention. In instances where it is known in advance that multiple stents will
19 be the preferred method of treatment, a plurality of such stents may be positioned
20 along a single balloon catheter for simultaneous delivery to the affected area.

21 As discussed above, the number of peaks or turns 12 and 14 in the stent 10
22 may vary between two and ten. To this end, shown in Figures 6a and 6b are two
23 alternative configurations of the stent 10. The alternative embodiment shown in 6a
24 can be seen to have three upper and three lower peaks or turns, while the
25 embodiment shown in Figure 6b can be seen to have ten upper and ten lower
26 peaks.

27 While the primary application for the stent 10 is presently believed to be
28 treatment of cardiovascular disease such as atherosclerosis or other forms of
29 coronary narrowing, the stent 10 of the present invention may also be used for
30 treatment of narrowed vessels in the kidney, leg, carotid, or elsewhere in the body.
31 In such other vessels, the size of the stent may need to be adjusted to compensate
32 for the differing sizes of the vessel to be treated, bearing in mind the sizing

1 guidelines provided above.

2 Having fully described a preferred embodiment of the invention, those skilled
3 in the art will immediately appreciate, given the teachings herein, that numerous
4 alternatives and equivalents exist which do not depart from the present invention.
5 It is therefore to be understood that the present invention is not to be limited by the
6 foregoing description, but only by the appended claims.

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1 I claim:

2 1. An endovascular support device suitable for implantation within a coronary
3 or other vessel within the human body comprising a unitary member of wire-like
4 material configured to provide a plurality of upper and lower peaks, the unitary
5 member being capable of being compressed for delivery to an affected area of a
6 vessel and then expanded to maintain the affected area of a vessel at a diameter
7 larger than if the support device were not implanted.

8 2. A method of treating narrowing of coronary or peripheral vessels within
9 humans comprising the steps of

10 providing a compressible and expandable endovascular support device,
11 compressing the endovascular support device onto a balloon catheter,
12 advancing the balloon catheter and endovascular support device to an
13 affected area,

14 inflating the balloon catheter to expand the endovascular support device within
15 the affected area to thereby prevent stenosis of at least a portion of the narrowed
16 length of the vessel, and

17 repeating the advancing and inflating steps until a sufficient plurality of
18 endovascular support devices have been expanded within the affected area to
19 prevent stenosis along the narrowed length of the vessel.

20 3. A method of manufacturing an endovascular support device comprising
21 forming a toroid from a first material,
22 plating the toroid with a second material having higher lubricity than the first
23 material,

24 bending the toroid to form a plurality of upper and lower peaks,
25 stripping off the second material from the toroid, and
26 reducing the diameter of the bent toroid to a desired size.

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ABSTRACT

An endovascular support device for treatment of chronic restenosis or other vascular narrowing is disclosed together with a method of manufacture and a method for delivering a plurality of such devices to an affected area of a vessel. In a preferred embodiment, the endovascular support device comprises a unitary wire-like structure configured to form a plurality of upper and lower peaks which may be compressed for delivery to an affected area of a coronary or peripheral vessel in a human, and then expanded to maintain a passageway through the vessel.

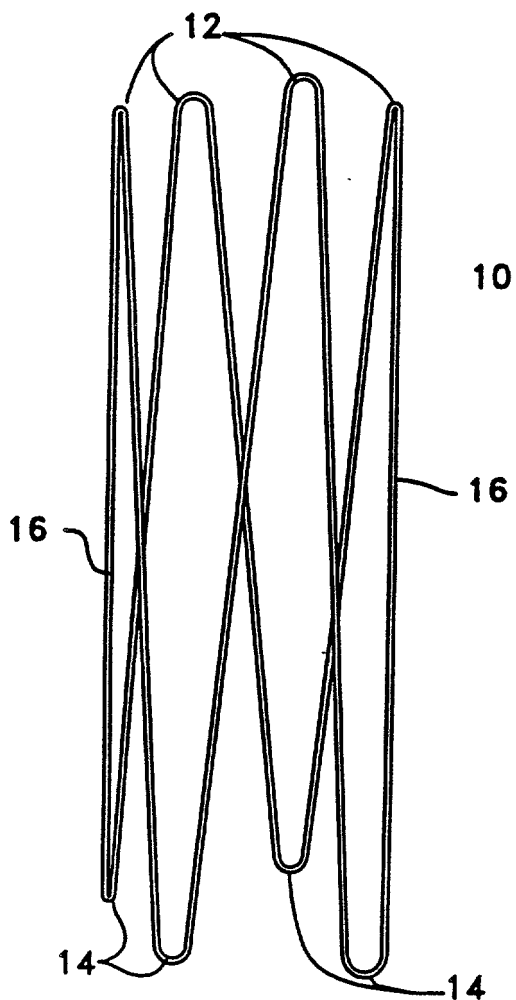


Figure 1

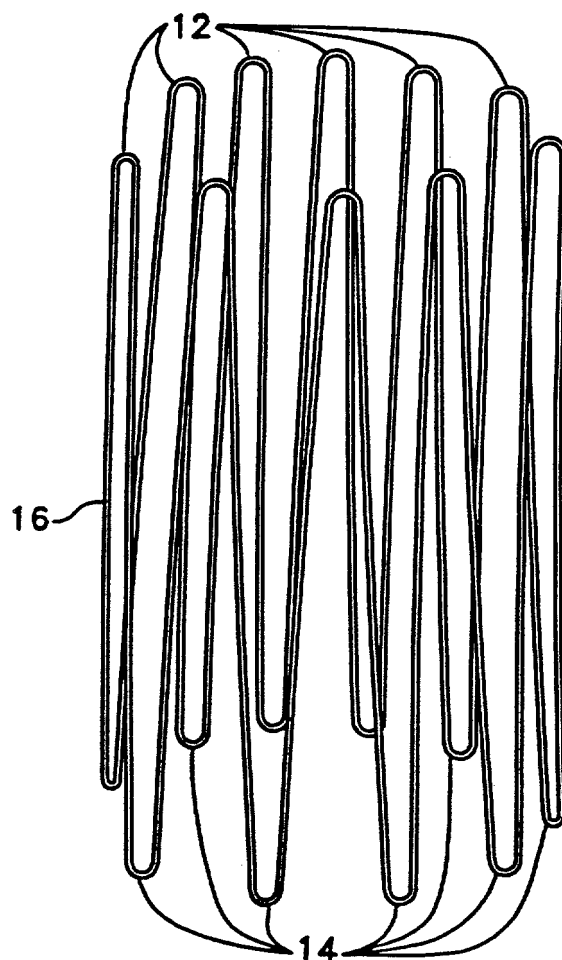


Figure 6b

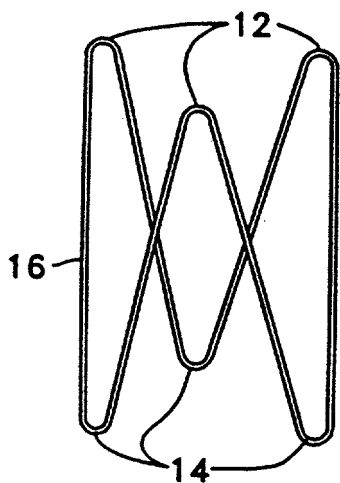


Figure 6a

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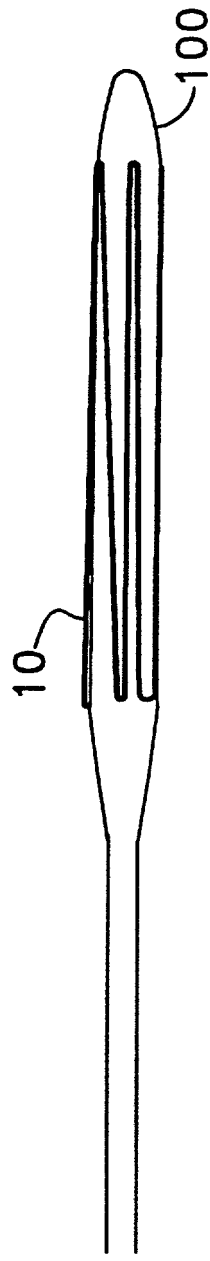


Figure 2

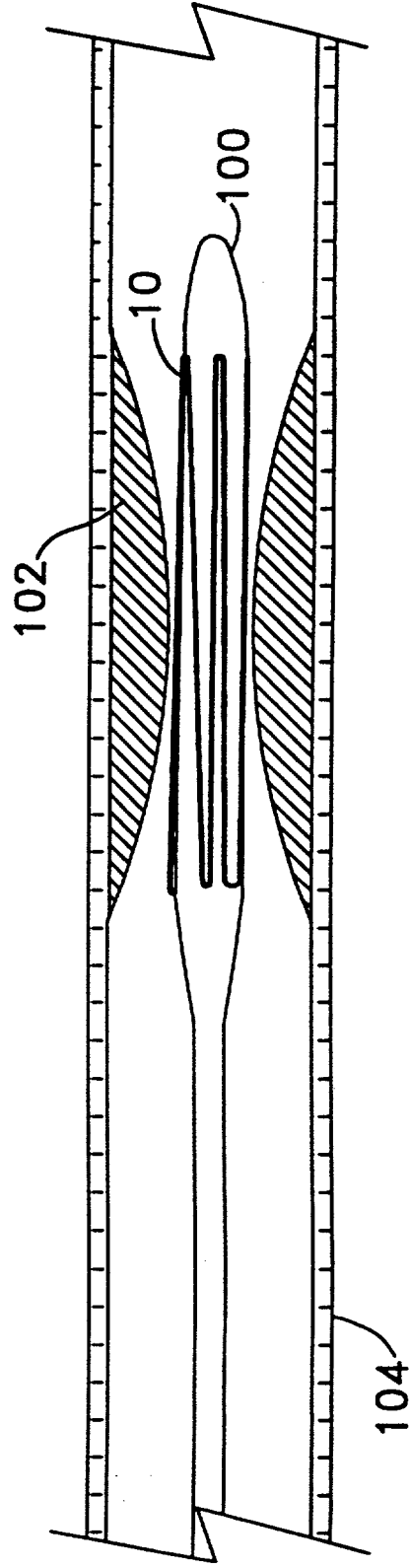


Figure 3

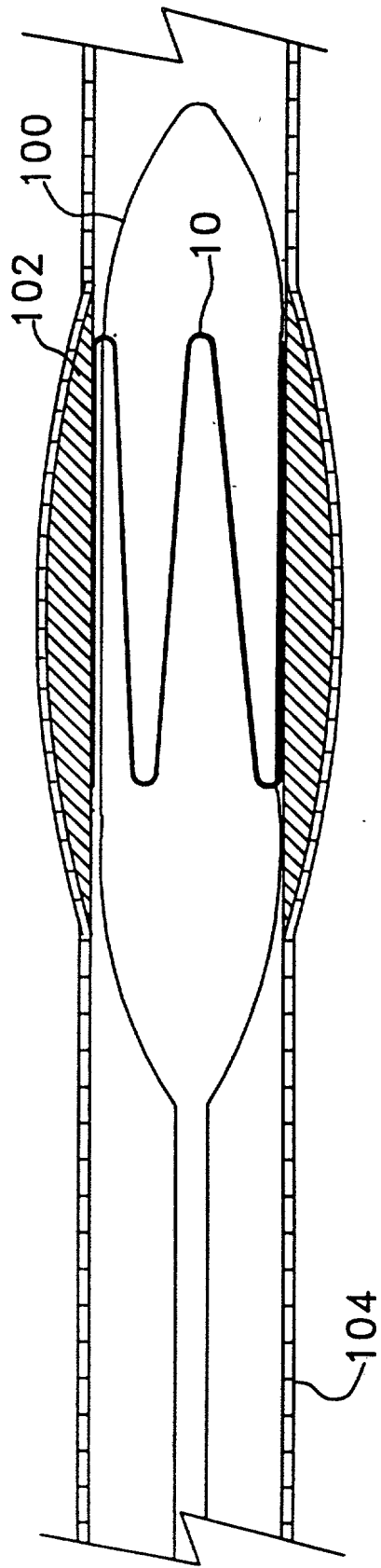


Figure 4

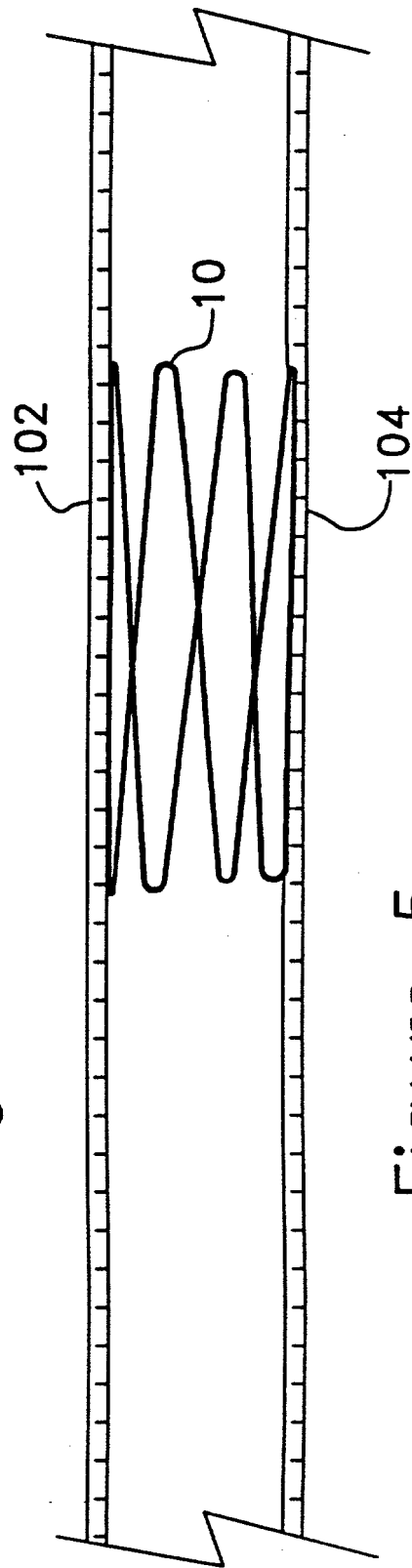


Figure 5

/ /

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I hereby declare that all statements made herein of my own knowledge
are true and that all statements made on information and belief are
believed to be true; and further that these statements were made with
the knowledge that willful false statements and the like so made are
punishable by fine or imprisonment, or both, under Section 1001 of
Title 18 of the United States Code and that such willful false
statements may jeopardize the validity of the application or any patent
issued thereon.

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Date _____

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Date _____

Residence _____

Citizenship _____

Post Office Address _____

08846405-043097

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below to my name,

I believe I am the original, first and sole inventor (if only one name listed below) or an original, first and joint inventor (if plural names listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ENDOVASCULAR SUPPORT DEVICE AND METHOD, the specification of which

☒ is attached hereto.

☐ was filed on _____ as
Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of above identified specification, including the claims, as amended by amendment referred to above.

I acknowledge the duty to disclose information which is material to examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, Section 112 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented, pending, abandoned)

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